

Disclosure Policy Revisions (August 2001)

<i>Item</i>	<i>Bank business sponsor</i>	<i>Current status</i>	<i>Proposed change</i>	<i>Comment</i>	
A. Proposed Changes					
1.	Operational Memoranda issued to staff concerning OPs/BPs	OPCS	Not disclosed.	Disclose after issuance to staff.	OPs and BPs are disclosed.
2.	Documentation for PRSCs	Regions, OPCS	Documents for the three PRSCs approved by the Board so far—Uganda, Vietnam, and Burkina Faso—have been disclosed.	Prepare Program Document (PD). Disclose it after Board approval of the operation.	During negotiations of a PRSC, the borrower would identify confidential or sensitive material in the PD. Such material would be included in the MOP, which would not be disclosed.
3.	Signed PRSC LDP	Regions, OPCS	For the first three operations the LDP was included in the disclosed documentation.	Presume disclosure by the Government.	The Fund presumes disclosure of the Letter of Intent.
4.	Tranche release documents for multitranche PRSCs	Regions, OPCS	Not disclosed under current policy.	Disclose after tranche approval.	As with new operations, confidential or sensitive material would be communicated to EDs in the MOP and would not be disclosed.
5.	Documentation for other adjustment operations	Regions, OPCS	Not disclosed.	Voluntary disclosure of the PD after Board approval of the operation.	During negotiations, the borrower would identify confidential or sensitive material in the PD. Such material would be included in the MOP, which would not be disclosed.
6.	Signed LDP for other adjustment operations	Regions, OPCS	Disclosure by the Government not presumed.	Presume disclosure by the Government.	The Fund presumes disclosure of the Letter of Intent.
7.	Tranche release documents for other adjustment lending operations	Regions, OPCS	Not disclosed.	Voluntary disclosure after tranche approval.	See comment at item 4 above.
8.	Factual technical documents on projects under preparation	Regions	Disclosed by the country director, after consultation with the government concerned. But public has no access to the list of technical documents.	Prepare a list of technical documents and attach to PID. Update list as PID is updated. Documents will continue to be disclosed by the country director, after consultation with the government concerned.	PIDs are routinely disclosed.
9.	Report on projects under implementation.	Regions, OPCS	Not disclosed.	Disclose the <i>Annual Status of IBRD/IDA Projects in Execution</i> .	The contents of the report would be upgraded to provide more comprehensive, relevant and timely information.

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10.	Implementation Completion Reports	Regions, OPCS	Not disclosed.	Disclose.	Governments to be informed during ICR preparation that the ICR would be disclosed. In finalizing ICRs, Bank staff would take into account as appropriate any government concerns about confidential or sensitive material.
11.	Performance Assessment Reports	OED	Not disclosed.	Disclose.	These reports deal with lending operations.
12.	Process Evaluations	OED	Not disclosed.	Disclose unless the Board decides otherwise.	When there is a Management Response, it would be disclosed as well.
13.	Synthesis reports prepared by QAG (for example, Annual Report on Portfolio Performance)	QAG	Not disclosed.	Disclose.	Confidential or sensitive country material would not be disclosed.
14.	Trust Fund Documents	RMC	Varies.	Disclose funding proposals approved by donors; Framework, Administration and Grant Agreements; aggregate financial and disbursement information; and financial statements.	Subject to agreements with donors and recipients.
15.	SSPs under preparation	Networks	Generally not disclosed.	Disclose for consultation purposes, following notification of Board.	This would bring the treatment of SSPs into line with that of operational policy papers.
16.	Biannual Strategic Overview of EDs' Work Program, Monthly Updates of the Board Schedule, List of Policy and Strategy Documents for Board consideration	SEC	Not disclosed.	Disclose.	Exclude from the list documents that are strictly confidential.
17.-19.	Chairman's Concluding Remarks on IDA or IBRD CASs and TSSs	OPCS, SEC	Prepared but not disclosed.	Disclose if CAS or TSS itself is disclosed.	If a CPIN, PIN or a press release issued, it could be based on the Chairman's Concluding Remarks.

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20.	Chairman's Concluding Remarks on discussions of SSPs	OPCS, SEC	Not prepared; not disclosed.	Prepare and disclose unless the Board decides otherwise.	Will provide an opportunity to convey the Board's view on SSPs.
21.	Chairman's Concluding Remarks on other selected policy, strategy, and topical issues	OPCS, SEC	Prepared in some cases; not disclosed.	Prepare and disclose as agreed between Management and the Board.	Press releases will continue to be issued for key policy and strategy discussions; if Chairman's Concluding Remarks are prepared, press release could be based on those remarks as appropriate.
22.	Archived documents	Information Solutions Group/owner of information	Disclosure ad hoc; case-by-case.	Disclose archived documents after 20 years with safeguards to protect confidential, proprietary, and other sensitive information. Documents disclosable under current and proposed changes to policy would be disclosed after 5 years, subject to the same safeguards.	Transcripts of Board meetings would not be accessible. Access to other archived documents would be determined by departmental directors, in consultation with LEGVP and the ED concerned.

B. Targeted Learning Pilots in FY02-03

23.	Information pertaining to CASs under preparation	Regions	Draft CASs are not disclosed.	Design, and release to in-country target audiences, enhanced information for CAS consultation.	In 12 to 18 months, Management would review implementation, identify lessons of experience, and discuss these findings with EDs.
24.	Information on PRSC or investment operations under preparation (not included in the PIDs)	Regions	Draft PADs and staff papers for adjustment lending under preparation are not disclosed.	Design and release to in-country target audiences enhanced information packages covering items such as lessons learned from past operations, technical analyses, design alternatives, and risk assessment.	See comment at no. 23 above.
25.	Information on projects under implementation	Regions	Not disclosed.	Design and release to in-country target audiences enhanced information packages on project implementation, including coverage of items such as procurement, audits, midterm reviews, and CPPRs.	See comment at no. 23 above.

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26.	Umbrella disclosure agreement	Government of Indonesia and EAPVP	Item-by-item approach to voluntary disclosure.	Government to be able to exercise voluntary disclosure in a blanket agreement.	In addition, this pilot will also allow for release of appropriate information during project implementation on procurement and for the release of audit reports.
C. Clarifications					
27.	EA reports for Category B projects	ESSD	Not clear whether the EA should be a separate document or when the EA should be sent to InfoShop.	Make all EA reports self-standing (even if short) to facilitate cataloging and locating. Make disclosure a prerequisite for beginning of appraisal.	There is much confusion at present among staff and borrowers on disclosure requirements for category B projects.
28.	Resettlement plans and indigenous peoples' development plans	ESSD	Different and sometimes unclear instructions about whether to prepare as separate document, when to disclose, and where to put disclosed document in package sent to the InfoShop.	Make resettlement and indigenous peoples' plans separate reports (even if short). Make disclosure a prerequisite for beginning of appraisal.	There is much confusion at present among staff and borrowers on disclosure requirements for resettlement plans and indigenous people development plans.
29.	Subloans under financial intermediary operations and sector investment operations	ESSD	Timing of the disclosure of subproject EAs is not clear.	Clarify that for all Category A subprojects, the financial institution or the responsible project entity must, before appraisal begins, provide to the Bank an assessment of the institutional mechanisms (or frameworks) in place for conducting subproject EA work. These assessments (or frameworks) are sent to the InfoShop before appraisal begins.	As EA reports for Category A subprojects are prepared and officially received by the Bank, they are disclosed through the Infoshop.
30.	GEF Category B projects	GEF/ESSD	Not clear whether IDA or IBRD procedures should apply.	Make EA report self-standing (even if short) to facilitate cataloging and locating. Make disclosure a prerequisite for beginning of appraisal.	

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31.	Category B projects co-financed by other trust funds; Category B freestanding trust fund operations.	Trust Funds/ ESSD	Disclosure procedure governing the operation is not clear if document establishing trust fund calls for the use of other procedures.	Make EA report self-standing (even if short) to facilitate cataloging and locating. Make disclosure a prerequisite for beginning of appraisal.
32.	IDA Guarantees— Category A and B	ESSD	Timing of disclosure is not clear since appraisal is not a discrete step.	Apply existing policies for IBRD guarantees: for Category A projects, disclose at least 60 days prior to expected date of Board; for Category B projects, at least 30 days prior to Board.